

Asymmetric Dihydroxylation of β,γ -Unsaturated Carboxylic Esters with Trisubstituted C=C Bonds – Enantioselective Syntheses of Trisubstituted γ -Butyrolactones

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β,γ -Unsaturated esters with stereodefined trisubstituted C=C double bonds were prepared by the Arndt–Eistert homologation of α,β -unsaturated carboxyl halides, by two-step methoxycarbonylation of allylbarium reagents, by deconjugation of α,β -unsaturated esters, and by Horner–Wadsworth–Emmons variants of the Stobbe condensation. Sharpless asymmetric dihydroxylation of the β,γ -unsaturated esters, followed by spontaneous cyclization, afforded β -hydroxy- γ -lac-

tones in moderate to good yields and with enantiomeric excesses of up to 97 %. Similarly, tetrahydroxy- γ -lactones were obtained from diunsaturated esters; these lactones were converted into a bislactone and an unsaturated β -hydroxy γ -lactone.

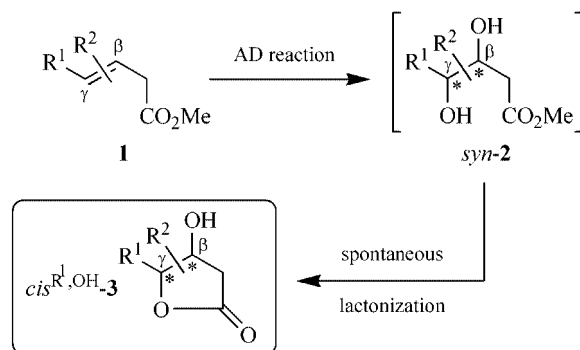
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Introduction

γ -Lactones are a commonly encountered structural motif in nature^[1] and synthesis (both as targets and synthetic intermediates). This is particularly true if these γ -lactones are enantiomerically pure. Accordingly, a considerable body of methods for synthesizing such lactones with saturated (“butanolides”^[2]) or α,β -unsaturated rings (“ Δ^3 -butenolides”^[3]) has been developed over the years. They include a straightforward asymmetric synthesis by our group, based on Sharpless’ asymmetric dihydroxylation (“AD reaction”)^[4] of β,γ -unsaturated carboxylic esters **1** (Scheme 1).^[5] This approach provides γ -lactones **3** with *ees* of typically 94–98 %, in good yields and a single step.^[5–7]

In all previous studies by this group^[5b,6,7] (with a single exception;^[8] vide infra) and by others^[5a,9] the AD reaction has been performed upon β,γ -unsaturated carboxylic esters **1** containing disubstituted C $^{\beta}$ =C $^{\gamma}$ double bonds (Scheme 1: R² = H), resulting in the formation of γ -monoalkyl- or γ -monoaryl- β -hydroxy- γ -lactones **3** (R² = H). A logical extension of this concept appeared to be analogous AD reactions of β,γ -unsaturated carboxylic esters **1** containing trisubstituted C $^{\beta}$ =C $^{\gamma}$ double bonds (Scheme 1: R² $\neq$ H). They should provide β,γ -dialkyl- and γ,γ -dialkyl- β -hydroxy- γ -lactones **3** (R² $\neq$ H) as well as their aryl-containing congeners. This paper describes how this concept was put into practice.

A prerequisite for this study was the acquisition of model esters for the AD reaction as pure stereoisomers with re-



Scheme 1. Dihydroxylation strategy for the asymmetric synthesis of γ -lactones.

spect to their C $^{\beta}$ =C $^{\gamma}$ double bonds; otherwise AD reactions of these esters would give mixtures of lactone diastereomers. Stereodefined β,γ -unsaturated esters were obtained by the following approaches: Arndt–Eistert homologation of α,β -unsaturated acids [**7–10**, (*E*)- and (*Z*)-**14**], carboxylation of allyl barium compounds [(*E*)- and (*Z*)-**18**], Horner–Wadsworth–Emmons reactions (“HWE reactions”) [(*E*)-**31–34**, (*Z*)-**31**, (*Z*)-**33** and -**34**], and deprotonation/reprotonation of α,β -unsaturated carboxylic esters (**24**, **25**).

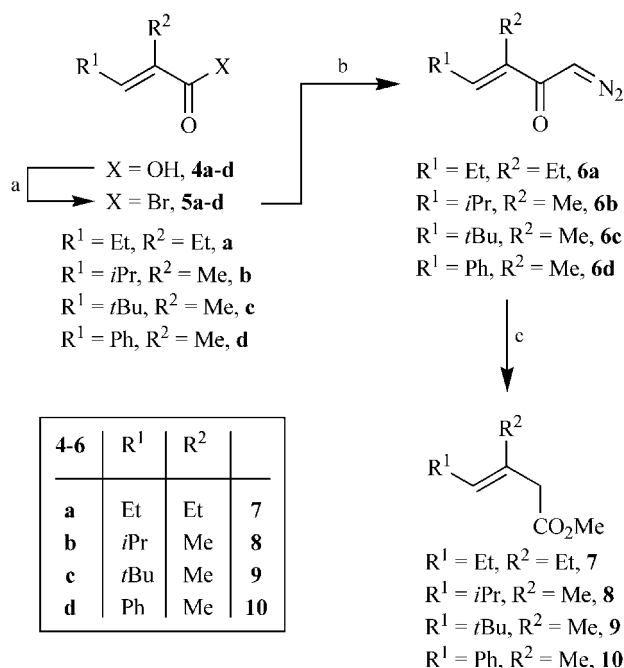
Results and Discussion

Preparation of the AD Precursors

The majority of our syntheses of stereochemically pure β,γ -unsaturated carboxylic esters were achieved by Arndt–Eistert homologation of analogously substituted α,β -unsat-

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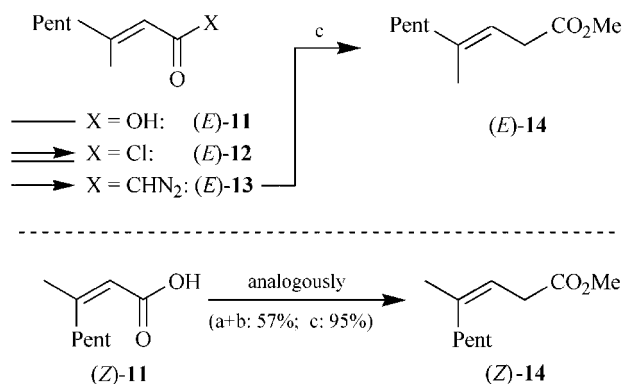
urated carboxylic acids.^[10] This approach worked well when starting from the α,β -dialkylated α,β -unsaturated acids **4a–d** (Scheme 2) and the β,β -dialkylated α,β -unsaturated acids (*E*)- and (*Z*)-**11** (Scheme 3). Acids **4a** and **4b** were obtained by alkaline hydrolyses of the corresponding (*E*)-configured α,β -unsaturated esters (not shown), these in turn being obtained by Wittig reactions.^[11] Acid **4c** was synthesized as described,^[36] whilst acid **4d** is commercially available. Acids (*E*)- and (*Z*)-**11** were produced through *cis*-selective carbocuprations of appropriately substituted alkynoic acids.^[12]



Scheme 2. Arndt–Eistert homologation of α,β -dialkyl α,β -unsaturated carboxyl bromides. Reagents and conditions: (a) For **5a–c**: (COBr)₂ (1.01 equiv.), no solvent, -15°C , overnight; for **5d**: 1-bromo-2-(dimethylamino)-2-methyl-1-propene (1.0 equiv.), CH₂Cl₂, $0^\circ\text{C} \rightarrow \text{room temp.}$, 1 h. (b) CH₂N₂ (1.0 equiv.), *i*Pr₂NEt (1.1 equiv.), Et₂O, $0^\circ\text{C} \rightarrow \text{room temp.}$, 2 h; **6a**: 28% over the two steps; **6b**: 83% over the two steps; **6c**: 81% over the two steps; **6d**: 52% over the two steps. (c) AgOBz, NEt₃, MeOH, room temp., 5 h; **7** (*E*:*Z* = 100:0): 76%; **8** (*E*:*Z* = 100:0): 77%; **9** (*E*:*Z* = 100:0): 87%; **10** (*E*:*Z* = 100:0): 86%.

It is known that the acylation of diazomethane with activated α,β -unsaturated carboxylic acids may be accompanied by pyrazoline formation through a 1,3-dipolar cycloaddition of diazomethane to the electron-deficient $\text{C}^\alpha=\text{C}^\beta$ bond.^[13] This competition was detrimental to formation of the desired diazo ketones **6a–d** from the acid chlorides obtained from the α,β -dialkylated α,β -unsaturated acids **4a–d** (Scheme 2). In contrast, pyrazoline formation was insignificant in diazomethane treatment of acid chlorides (*E*)- and (*Z*)-**12** [$\rightarrow$ diazo ketones (*E*)- and (*Z*)-**13**, respectively; Scheme 3]. With use of the α,β -unsaturated acid bromides **5a–d** – rather than the analogous chlorides – as acylating agents, however, diazo ketones **6a–d** were also generated successfully (Scheme 2).

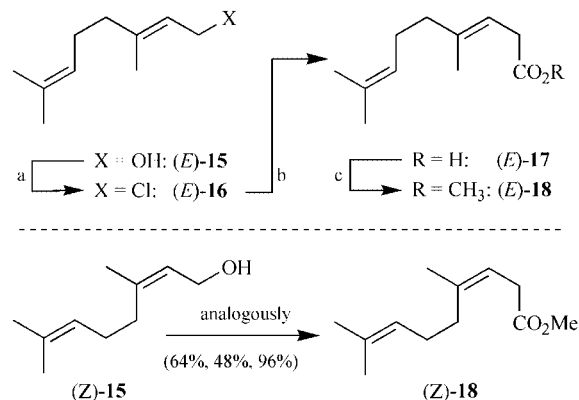
Silver benzoate-induced Wolff rearrangements^[14] of diazo ketones **6a–d**, (*E*)-**13**, and (*Z*)-**13** in methanol furnished



Scheme 3.^[8] Arndt–Eistert homologation of β,β -dialkyl α,β -unsaturated carboxylic acid chlorides. Reagents and conditions: (a) SOCl₂ (1.0 equiv.), DMF (cat.), CH₂Cl₂, $0^\circ\text{C} \rightarrow \text{room temp.}$, 3 h. (b) CH₂N₂ (2.0 equiv.), *i*Pr₂NEt (1.0 equiv.), Et₂O, $0^\circ\text{C} \rightarrow \text{room temp.}$, 1 h: 55% over the two steps. (c) AgOBz (0.3 equiv.), NEt₃ (4.7 equiv.), MeOH, room temp., 5 h; 88% (*E*:*Z* = 100:0). (*Z*)-**14**: *Z*:*E* = 100:0.

the desired β,γ -unsaturated methyl esters **7–10**, (*E*)-**13**, and (*Z*)-**13**, respectively. These were produced with complete retention of the C=C double bond configuration.

Geraniol [(*E*)-**15**] and nerol [(*Z*)-**15**] were converted into the analogous chlorides (*E*)- and (*Z*)-**15**, respectively, through treatment with mesyl chloride and triethylamine^[15] (Scheme 4). The corresponding barium derivatives – obtained by treatment with Rieke barium (from anhydrous barium iodide and lithium biphenylide) – were C₁-extended through addition to carbon dioxide. This afforded the β,γ -unsaturated carboxylic acids (*E*)- and (*Z*)-**17** stereospecifically, as reported by Yamamoto et al.^[16] Methyl ester formation with (trimethylsilyl)diazomethane^[17] in benzene/methanol gave the desired β,γ -unsaturated esters (*E*)- and (*Z*)-**18** in 96% yield and diastereomerically pure within the limits of ¹H NMR detection.

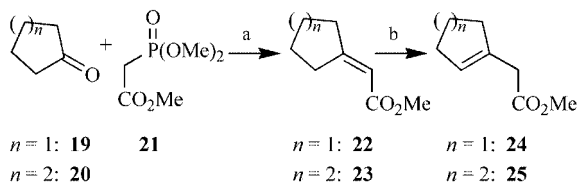


Scheme 4. Methoxycarbonylation of allylbarium reagents. Reagents and conditions: (a) MeSO₂Cl (1.5 equiv.), pentane, -5°C , 30 min; pyridine (2.0 equiv.), pentane, room temp., 14 h; 83% (ref.^[15] 74%). (b) BaI₂ (2.2 equiv.), Li biphenylide (4.4 equiv.), THF, -78°C , 30 min; CO₂ (gaseous), 30 min; 61% (ref.^[16] 87%). (c) Me₃SiCHN₂ (1.3 equiv.), MeOH/benzene (1:3.6), room temp., 1 h; 96% (*E*:*Z* = 100:0). (*Z*)-**18**: *Z*:*E* = 100:0.

We found the syntheses of ester (*E*)-**18** shown in Scheme 4 superior to carboxymethylation of either allyl

chloride (*E*)-**16** or the analogous allyl phosphate with CO/methanol in the presence of $\text{Pd}_2(\text{dba})_3/\text{NaOMe}$.^[18] This kind of reaction was poorly stereoselective in our hands; chloride (*E*)-**16**, for example, gave (*E*)-**18**:(*Z*)-**18** = 73:27 (74%).

β,γ -Unsaturated esters **24**^[19] and **25**^[20] contain endocyclic $\text{C}^\beta=\text{C}^\gamma$ bonds: namely, a cyclopentenyl and a cyclohexenyl moiety, respectively (Scheme 5). These compounds were obtained by deconjugation of the isomeric α,β -unsaturated esters **22**^[19] and **23**,^[20] respectively, these in turn being obtained by HWE reactions between the appropriate cycloalkanones and phosphonate **21**.^[21] Deconjugation was achieved by subsequent deprotonation with LDA followed by reprotonation with aqueous NH_4Cl and glacial acetic acid, respectively.



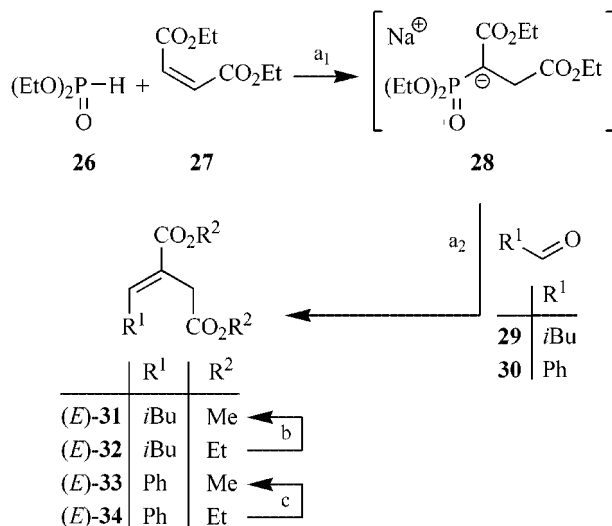
Scheme 5. Formation and deconjugation of α,β -unsaturated esters. Reagents and conditions: (a) NaOMe (1.2 equiv.), methanol, room temp., 12 h; **22**: 93% (as a 90:10 mixture with **24**), **23**: 98%. (b) LDA (1.1 equiv.), THF, -78°C , 1 h; NH_4Cl (for **24**) or HOAc (for **25**); **24**: 76%, **25**: 78%.

The second largest number of β,γ -unsaturated ester syntheses in this study was obtained by HWE variants of the Stobbe condensation (Scheme 6, Scheme 7). These reactions furnished diethyl diesters (*E*)-**32**, (*E*)-**34**, and (*Z*)-**34** and dimethyl diesters (*Z*)-**31** and (*Z*)-**33**. Subsequent transesterifications of diethyl diesters (*E*)-**32** and (*E*)-**34** with sodium methoxide also gave the dimethyl diesters (*E*)-**31** and (*E*)-**33**.

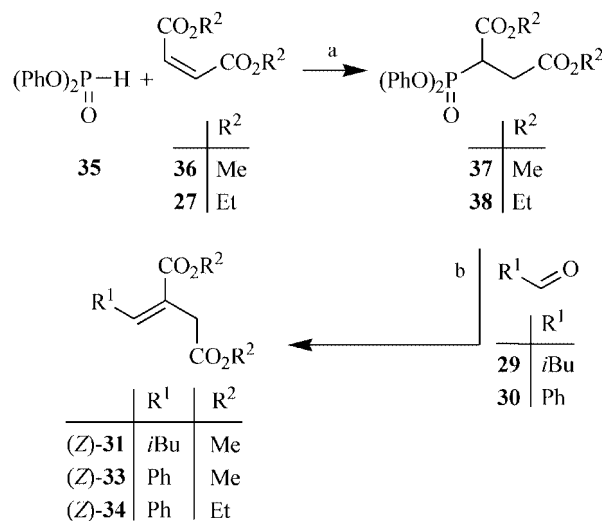
We tried to establish the $\text{C}^\beta=\text{C}^\gamma$ bonds in the described “Stobbe condensation products” stereoselectively by varying the phosphonate moieties in the employed HWE reagents: with a $(\text{EtO})_2\text{P}(=\text{O})$ moiety in HWE reagent **28** (Scheme 6) as opposed to a $(\text{PhO})_2\text{P}(=\text{O})$ moiety in the HWE reagents **37** and **38**^[22] in Scheme 7. The presence of the $(\text{EtO})_2\text{P}(=\text{O})$ moiety in HWE reagent **28** made the olefinations of the aliphatic aldehyde **29** and of benzaldehyde (**30**) (*E*)-selective to extents of 82:18 (**32**) and 93:7 (**34**), respectively (Scheme 6). The $(\text{PhO})_2\text{P}(=\text{O})$ -containing HWE reagents **37** and **38**^[22] olefinated the same aldehydes with *Z*:*E* selectivities between 81:19 ($\rightarrow$ **34**) and 67:33 ($\rightarrow$ **31**; Scheme 7);^[23] to the best of our knowledge, these last reagents have been used for (*Z*)-selective succinylidenations for the first time. In all instances, the major unsaturated ester isomer could be obtained isomerically pure by flash chromatography^[24] on silica gel.

AD Reactions

Having made the selected β,γ -unsaturated model esters accessible as single stereoisomers, we proceeded to examine magnitudes of stereocontrol during their AD reactions. In



Scheme 6. (*E*)-selective Horner–Wadsworth–Emmons variant of the Stobbe condensation. Reagents and conditions: (a₁) **26**, NaH (1.0 equiv.), EtOH, $0^\circ\text{C} \rightarrow$ room temp., 30 min; addition of **27** (1.0 equiv.), 1 h ($\rightarrow$ **28**; not isolated). (a₂) Crude **28**, **29** (1.0 equiv.) or **30** (1.0 equiv.), 4 h [for preparation of (*E*)-**32**] or overnight [for preparation of (*E*)-**34**]; (*E*)-**32**: 61% (*E*:*Z* = 82:18); (*E*)-**34**: 48% (*E*:*Z* = 93:7). (b) NaH (2.0 equiv.), MeOH, room temp., 2 d; 87% (*E*:*Z* = 81:19); (c) same as b), 3 d; 81% (*E*:*Z* = 92:8).



Scheme 7. (*Z*)-selective Horner–Wadsworth–Emmons variant of the Stobbe condensation. Reagents and conditions: (a) **35**, NaH (1.0 equiv.), THF, $0^\circ\text{C} \rightarrow$ room temp., 30 min, addition of **36** (1.0 equiv.), 3 h; 69% **37**; **38**.^[22] (b) **37** or **38**, NaH (1.0 equiv.), **29** (1.0 equiv.) or **30** (1.0 equiv.), THF, $0^\circ\text{C} \rightarrow$ room temp., 3 h [for preparation of (*Z*)-**31** and (*Z*)-**33**] or 1 h [for preparation of (*Z*)-**34**]; (*Z*)-**31**: 86% (*Z*:*E* = 80:20); (*Z*)-**33**: 48% (*Z*:*E* = 67:33); (*Z*)-**34**: 79% (*Z*:*E* = 81:19).

order to collect a fairly comprehensive set of data, all AD reactions were performed separately in the presence of the chiral auxiliaries contained in AD mix[®] α [i.e., $(\text{DHQ})_2\text{-PHAL}$] and AD mix[®] β [$(\text{DHQD})_2\text{-PHAL}$], routinely using the so-called “improved”^[25] conditions and only occasionally oxidant and auxiliary stoichiometries approaching “standard AD conditions”.^[26]

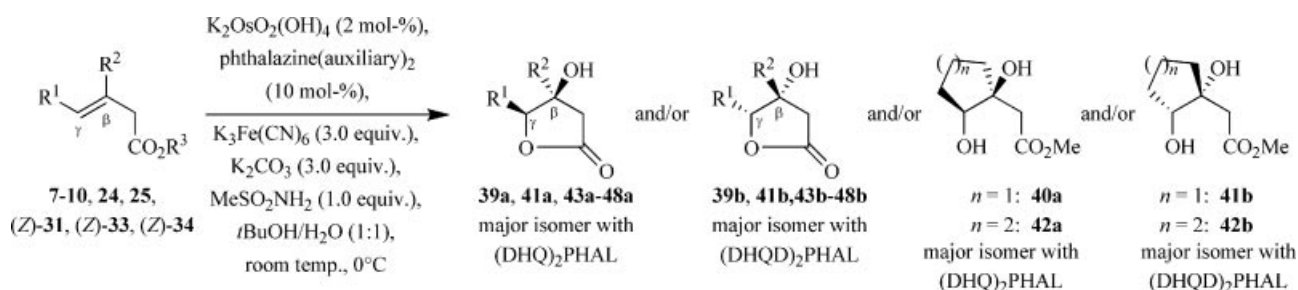
All AD results are shown in Table 1, Table 2, and Table 3. Table 1 relates to β,γ -disubstituted esters containing (*E*)-configured $R^1-C=C-CH_2-CO_2R^3$ moieties as substrates, Table 2 to AD reactions of β,γ -disubstituted esters with (*Z*)-configured $R^1-C=C-CH_2-CO_2R^3$ moieties, and Table 3 to the behavior of pairs of *E,Z*-isomeric γ -disubstituted β,γ -unsaturated esters under AD conditions.

The AD reactions of β,γ -disubstituted esters with (*E*)-configured (cf. footnote [a] of Table 1) $R^1-C=C-CH_2-CO_2R^3$ moieties were reasonably high-yielding (Table 1), the yields for 18 reactions averaging 69% and the peak yield being 87% (Entries 3, 7). The lowest yields, not unexpectedly, resulted from AD reactions with benzylidene succinates (*Z*)-**33** and (*Z*)-**34** (38%, 47%, 49%; Entries 15–17) and with ester **9**, which contains a *tert*-butylated C=C bond

(55%, 56%; Entries 9, 10). This appears to reflect an electronic and a steric effect, respectively.

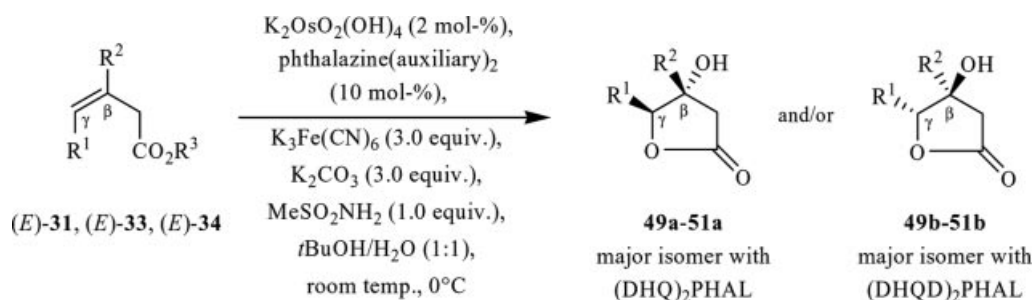
An unusual chemoselectivity of two pairs of AD reactions in Table 1 deserves mention (Entries 3–6). β,γ -Dihydroxy ester **40a** (or its enantiomer **40b**) obtained from cyclopentene **24** did not lactonize at all (Entries 3, 4). Similarly (Entries 5, 6), only ca. 25% of the β,γ -dihydroxy ester **42a** (or its enantiomer **42b**) obtained from cyclohexene **25** cyclized to give γ -lactone **41a** (or its enantiomer **41b**). These observations contrast with the spontaneous and complete lactonization of *all* β,γ -dihydroxy esters previously obtained under AD conditions (Scheme 1). The decreased driving force for lactonization in the cases under scrutiny must be due to the extra strain expected for *trans*-fused bicyclic γ -lactones.

Table 1. AD reactions^[25] of β,γ -unsaturated β,γ -disubstituted esters containing (*E*)-configured^[a] $R^1-C=C-CH_2-CO_2R^3$ moieties.



Entry	R ¹	R ²	R ³	Auxiliary	Substrate (isomer ratio >99:1)	Product(s) ^[b]	ee [%] ^[c]	Yield [%]
1	Et	Et	Me	DHQ	7	39a	68	65
2				DHQD		39b	72	84
3	-(CH ₂) ₃ -	Me	Me	DHQ	24	40a	66 ^[d]	87
4				DHQD		40b	79 ^[d]	83
5	-(CH ₂) ₄ -	Me	Me	DHQ	25	41a + 42a (24:76)	23	77
6				DHQD		41b + 42b (27:73)	44	85
7	<i>i</i> Pr	Me	Me	DHQ	8	43a	58	87
8				DHQD		43b	69	66
9	<i>t</i> Bu	Me	Me	DHQ	9	44a	59	55
10				DHQD		44b	65	56
11	Ph	Me	Me	DHQ	10	45a	83	75
12				DHQD		45b	85	69
13	<i>i</i> Bu	CO ₂ Me	Me	DHQ	(Z)- 31	46a	30	73
14				DHQD		46b	41	71
15	Ph	CO ₂ Me	Me	DHQ	(Z)- 33	47a	72	47
16				DHQD		47b	61	38
17	Ph	CO ₂ Et	Et	DHQ	(Z)- 34	48a	50	49
18				DHQD		48b	44	66

[a] This classification is also true for the substrates of Entries 9–14 although their C=C configurations are “(*Z*)” by the Cahn–Ingold–Prelog priority rules. [b] Absolute configurations were not proven but were assigned in accordance with “Sharpless’ mnemonic” (ref.^[4c,4g]). [c] Determined by chiral GC and HPLC (details: Experimental Part). [d] Determined after transformation into the corresponding acetone (without formula number; for details see the Exp. Sect.).

Table 2. AD reactions^[25] of β,γ -unsaturated β,γ -disubstituted esters containing (Z)-configured^[a] $R^1-C=C-CH_2-CO_2R^3$ moieties.

Entry	R ¹	R ²	R ³	Auxiliary	Substrate (isomer ratio >99:1)	Product ^[b]	ee [%] ^[c]	Yield [%]
1	<i>i</i> Bu	CO ₂ Me	Me	DHQ	(<i>E</i>)- 31	49a	63	89
2				DHQD		49b	78	88
3	Ph	CO ₂ Me	Me	DHQ	(<i>E</i>)- 33	50a	82	70
4				DHQD		50b	87	77
5	Ph	CO ₂ Et	Et	DHQ	(<i>E</i>)- 34	51a	84	76
6				DHQD		51b	90	83

[a] This classification is true for all substrates of this Table even if their C=C configuration is “(*E*)” by the Cahn–Ingold–Prelog priority rules. [b] Absolute configurations were not proven but were assigned in accordance with “Sharpless’ mnemonic” (ref.^[4c,4g]). [c] Determined by chiral GC and HPLC (for details see the Exp. Sect.).

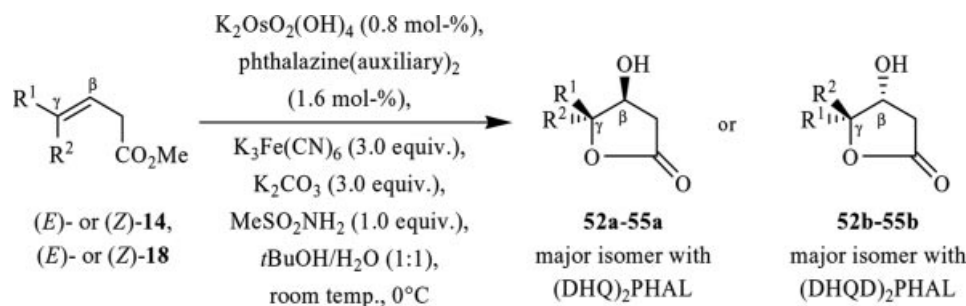
The enantiomeric excesses in the AD reactions in Table 1 span a range from 83–85% *ee* at best (for the γ -phenylated ester **10**; Entries 11, 12) to 23% *ee* at worst (for the cyclohexene-containing ester **25**; Entry 5). For most substrates, (DHQD)₂PHAL is a more efficient chiral auxiliary than (DHQ)₂PHAL (Entries 1–14), as usually observed in AD chemistry.^[4] However, the AD reactions of benzylidene succinates (*Z*)-**33** and **-34** represent exceptions (Entries 15–18). Also noteworthy is that the AD reaction of cyclopent-annulated ester **24** proceeds with considerably more stereocontrol (66% and 79% *ees*; Entries 3, 4) than that of the cyclohex-annulated analogue **25** (23% and 44% *ees*; Entries 5, 6). The absolute configurations of the products depicted in Table 1 were not elucidated experimentally but were assigned on the basis of Sharpless’ “mnemonic aid”^[4c,4g] which is believed to predict correctly the C–OH bond orientations resulting from the *trans*-configured $R^1-C=C-R^2$ substructure of any AD substrate containing it.

The AD reactions of β,γ -disubstituted esters containing (Z)-configured (cf. footnote [a], Table 2) $R^1-C=C-CH_2-CO_2R^3$ moieties are summarized in Table 2. The configurations of the resulting γ -lactones were again not determined experimentally but assigned on the basis of Sharpless’ “mnemonic aid”.^[4c,4g] The yields and *ees* of the AD products in Table 2 were consistently higher than those observed on starting from the isomeric substrates (Table 1, Entries 13–18). As almost always,^[4] (DHQD)₂PHAL was more powerful than (DHQ)₂PHAL as a chiral auxiliary. Consistently with this, the highest *ee* (90%) was observed in

the (DHQD)₂PHAL-mediated AD of benzylidenesuccinate (*E*)-**34**.

AD reactions of two pairs of *E,Z*-isomeric γ,γ -disubstituted β,γ -unsaturated esters [(*E*)- and (*Z*)-**14**,^[8] (*E*)- and (*Z*)-**18**] proceeded with yields around 75–90% (Table 3). Esters (*E*)- and (*Z*)-**18** underwent dihydroxylation at *both* C=C bonds, giving rise to the trihydroxy- γ -lactones **53** and **55**.

Half of the *ees* of the AD reactions summarized in Table 3 were in the nineties (Entries 1, 2, 7, 8), half in the eighties (Entries 3–6). Of the monounsaturated substrate isomers (*E*)- and (*Z*)-**14**, the former (Entries 1, 2) reacted with higher enantioselectivity than the latter (Entries 5, 6): (*E*)-**14** $\rightarrow$ 97% *ee* in the presence of (DHQD)₂PHAL vs. (*Z*)-**14** $\rightarrow$ 85% *ee*; (*E*)-**14** $\rightarrow$ 91% *ee* in the presence of (DHQ)₂PHAL vs. (*Z*)-**14** $\rightarrow$ 80% *ee*. For the diunsaturated isomeric substrates (*E*)- and (*Z*)-**18**, this order was reversed, with the (*E*) isomer (Entries 3, 4) reacting less enantioselectively than the (*Z*) isomer (Entries 7, 8): (*E*)-**18** $\rightarrow$ 82% *ee* in the presence of (DHQD)₂PHAL vs. (*Z*)-**18** $\rightarrow$ 93% *ee*; (*E*)-**18** $\rightarrow$ 83% *ee* in the presence of (DHQ)₂PHAL vs. (*Z*)-**18** $\rightarrow$ 90% *ee*. These juxtapositions imply the following: (i) the $Me_2C=CH-CH_2$ moiety in the di-unsaturated substrate **18** is dihydroxylated as rapidly as – or even more rapidly than – the $C^{\gamma}=C^{\beta}H-CH_2-CO_2Me$ moiety, and (ii) the resulting $Me_2C(OH)-CH(OH)-CH_2$ moiety exerts some substrate control over stereoselectivity during the (remainder) of the dihydroxylation of the $C^{\gamma}=C^{\beta}H-CH_2-CO_2Me$ moiety, opposing reagent control for the (*E*)-olefin (“mis-

Table 3. AD reactions (“compromise stoichiometry” between the quantities of ref.^[26] and ref.^[25]) of β,γ -unsaturated γ -disubstituted esters [pairs of (*E*)- and (*Z*) isomers].

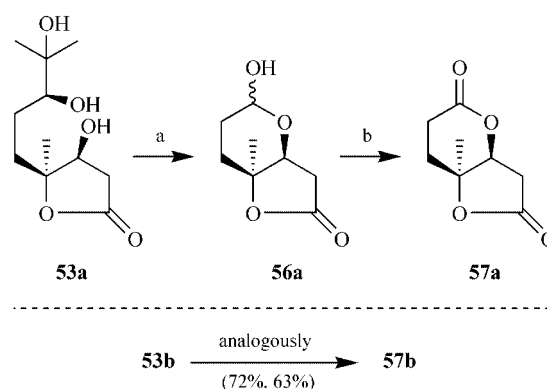
Entry	R ¹	R ²	Auxiliary	Substrate (isomer ratio >99:1)	Product ^[a]	ee [%] ^[b]	Yield [%]
1 ^[8]	Pent	Me	DHQ	(E)- 14	52a	91	83
2 ^[8]			DHQD		52b	97	82
3	Prenyl-CH ₂ - ^[c]	Me	DHQ	(E)- 18	53a	83 ^[d,e]	62
4			DHQD		53b	82 ^[d,e]	75
5 ^[8]	Me	Pent	DHQ	(Z)- 14	54a	80	92
6 ^[8]			DHQD		54b	85	85
7	Me	Prenyl-CH ₂ - ^[c]	DHQ	(Z)- 18	55a	90 ^{d,f}	77
8			DHQD		55b	93 ^{d,f}	84

[a] Absolute configurations were assigned by “Sharpless’ mnemonic” (ref.^[4c,4g]); moreover, they are consistent with the independently assigned absolute configurations of the β -hydroxy- γ -methyl- γ -pentyl- γ -butyrolactone stereoisomers obtained by analogous AD reactions (ref.^[8]). [b] Determined by chiral GC; for details see Experimental Part. [c] This moiety was also dihydroxylated during the AD reaction. [d] Twice as much of each reagent was used as indicated in the equation. [e] Determined after transformation into **57a** and **57b**, respectively (Scheme 8). [f] Determined after transformation into **59a** and **59b**, respectively (Scheme 9).

matched case”) and reinforcing it for the (*Z*)-olefin (“matched case”).

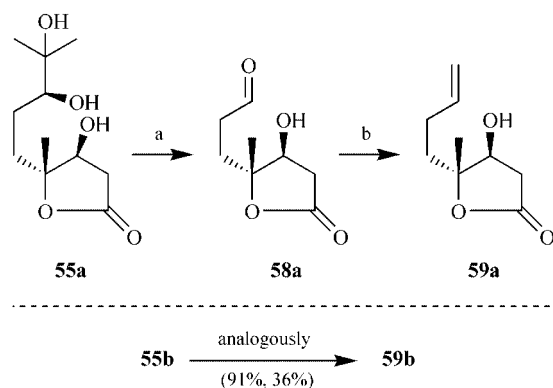
The monohydroxy lactone **54a** (80% *ee*; Table 3, Entry 5) and its enantiomer **54b** (85% *ee*, Entry 1) had been employed previously for elucidation of the 3D structure of a butenolide from the moss *Plagiomnium undulatum* by synthesis.^[8] The trihydroxy lactones **53** and **55** were carried on as summarized in Scheme 8 and Scheme 9, respectively. These transformations served two purposes: (i) determination of the stereochemical purity of the lactone moiety, and (ii) preparation of nonracemic β -hydroxy- γ -lactones containing an additional functional group in one γ -substituent.

Trihydroxy lactone **53a** and its enantiomer **53b** – both derived from methyl geranylcarboxylate – were consecutively subjected to glycol cleavage^[27] and PCC oxidation of the resulting lactols^[28] (Scheme 8), affording bislactone **57a** and its enantiomer **57b**, respectively. We needed these compounds chiefly for analytical purposes – namely, *ee* determination (83 and 82%, respectively) – though their syntheses also represent a straightforward enantioselective route to a structural motif that might otherwise be difficult to obtain.



Scheme 8. Bislactone synthesis from butyrolactone **53a** and its enantiomer. Reagents and conditions: (a) NaIO_4 (1.1 equiv.), THF, H_2O , room temp., 2 h; 64%, *dr* 79:21. (b) PCC (2.0 equiv.), CH_2Cl_2 , room temp., 12 h; 57%.

Trihydroxy lactone **55a** and its enantiomer **55b** – both derived from methyl nerylcarboxylate – rendered the lactone-containing hydroxyaldehydes **58** when subjected to glycol cleavage^[27] (Scheme 9). Obviously, lactol formation



Scheme 9. Unsaturation of lactone **55a** and its enantiomer. Reagents and conditions: (a) NaIO_4 (1.1 equiv.), EtOAc , H_2O , room temp., 30 min; 94%. (b) $\text{Ph}_3\text{P}=\text{CH}_2$ (1.6 equiv.), THF , $-5^\circ\text{C} \rightarrow$ room temp., 1.5 h; 31%.

was hampered by the arising of ring-strain. Wittig methylenation^[11,29] of the aldehyde group furnished the unsaturated γ -lactone **59a** (90% *ee*) and its enantiomer **59b** (93% *ee*).

Conclusions

We have studied the asymmetric dihydroxylation of β,γ -unsaturated carboxylic esters with trisubstituted double bonds. Except in those esters in which the $\text{C}^\gamma=\text{C}^\beta$ bond was part of a five-membered (namely compound **24**) or a six-membered ring (namely compound **25**), each substrate was smoothly converted into the corresponding trisubstituted γ -lactone (Table 1–Table 3). Lactone yields were good to excellent (up to 92%, Table 3, Entry 5). Enantiocontrol strongly depended on the substrate, lactone *ee* values spanning a range from 97% (Table 3, Entry 2) to 23% (Table 1, Entry 5), though half of our AD reactions proceeded with *ees* > 80%. This shows that our strategy for the construction of enantioenriched γ -lactones through the AD reactions of β,γ -unsaturated carboxylic esters is not limited to the production of disubstituted γ -lactones but is also useful for the synthesis of trisubstituted γ -lactones.

Experimental Section

All reactions were performed in oven-dried (110°C) glassware under N_2 . THF was freshly distilled from K, CH_2Cl_2 was distilled from CaH_2 . Diazomethane was prepared as an ethanol-free diethyl ether solution as described in the literature^[30] with the aid of an ALDRICH Diazald® Kit; the diazomethane concentrations were determined^[30] prior to use. Products were purified by flash chromatography^[24] on Merck silica gel 60 and were isolated as liquids or oils unless stated differently (only for one enantiomer). Yields refer to analytically pure samples. ^1H [CHCl_3 ($\delta = 7.26$ ppm)] as internal standard in CDCl_3 . C_6HD_5 ($\delta = 7.15$ ppm) as internal standard in C_6D_6 : Varian Mercury VX 300, Bruker AM 400, and Bruker DRX 500. Integrals are in accordance with assignments; coupling constants are in Hz. The assignments of ^1H NMR resonances refer to the IUPAC nomenclature except within substituents where primed numbers are used. Combustion analyses: E. Hickl,

Institut für Organische Chemie und Biochemie, University of Freiburg. MS: Dr. J. Wörth and C. Warth, Institut für Organische Chemie und Biochemie, University of Freiburg. IR spectra: Perkin–Elmer Paragon 1000. Optical rotations were measured with a Perkin–Elmer polarimeter 341 at 589 nm and 20°C and were calculated by the Drude equation $\{[\alpha]_D = (\alpha_{\text{exp}} \times 100)/(c \times d)\}$; rotational values are the average of five measurements of α_{exp} in a given solution of the respective sample. Melting points were measured on a Dr. Tottoli apparatus (Büchi) and are uncorrected. The *ee* values were determined by chiral GC with a Carlo Erba Instruments HRC 5160 Mega series apparatus with a Varian CP7502 (β -cyclodextrin/dimethylpolysiloxane) column (isothermal analysis) or by chiral HPLC with a Chiralpak AD (Daicel Chemical Ind. Ltd.) column.

(*E*)-2-Ethylpent-2-enoic Acid (4a**):**^[31] a) Propionaldehyde (131 mg, 2.25 mmol, 1.3 equiv.) was added to a solution of ethyl 2-(triphenylphosphanylidene)butanoate^[32] (636 mg, 1.69 mmol) in benzene (5 mL). After having been heated at 70°C for 3.5 h, the mixture was allowed to reach room temperature and stirred overnight. After evaporation in vacuo the residue was purified by flash chromatography (cyclohexane/ EtOAc , 60:1) to afford ethyl (*E*)-2-ethylpent-2-enoate^[31] (225 mg, 96%, *E*:*Z* = 96:4): ^1H NMR (300 MHz, CDCl_3): $\delta = 1.00$ (t, $J_{2',1'} = 7.5$ Hz, $2'\text{-H}_3$), 1.05 (t, $J_{5,4} = 7.5$ Hz, 5-H_3), 1.29 [t, $J_{\text{vic}} = 7.1$ Hz, $\text{CO}_2\text{CH}_2\text{CH}_3$; (*E*) isomer], superimposed by 1.30 [t, $J_{\text{vic}} = 7.2$ Hz, $\text{CO}_2\text{CH}_2\text{CH}_3$; (*Z*) isomer], 2.19 (dq, $J_{4,3} = J_{4,5} = 7.5$, 4-H_2), 2.31 (q, $J_{1',2'} = 7.5$ Hz, $1'\text{-H}_2$), 4.19 (q, $J_{\text{vic}} = 7.1$ Hz, $\text{CO}_2\text{CH}_2\text{CH}_3$), 5.81 [m, 3-H ; (*Z*) isomer], 6.70 [t, $J_{3,4} = 7.5$ Hz, 3-H ; (*E*) isomer] ppm; * = assignments interchangeable.

b) A solution of $\text{LiOH} \cdot \text{H}_2\text{O}$ (6.64 g, 0.158 mol, 10 equiv.) in H_2O (40 mL) was added to a solution of ethyl (*E*)-2-ethylpent-2-enoate (2.472 g, 15.82 mmol) in MeOH (165 mL). The mixture was heated at 45°C for 7.5 h and stirred overnight at room temperature. After removal of MeOH in vacuo, the mixture was acidified with HCl (2 M) and extracted with EtOAc (3×70 mL). The combined organic extracts were dried with MgSO_4 and the solvents were evaporated in vacuo. The residue was purified by flash chromatography (cyclohexane/ EtOAc , 15:1) to afford the title compound (1.604 g, 79%): ^1H NMR (300 MHz, CDCl_3): $\delta = 1.03$ (t, $J_{5,4} = 7.5$ Hz, 5-H_3), 1.07 (t, $J_{2',1'} = 7.5$ Hz, $2'\text{-H}_3$), 2.23 (dq, $J_{4,3} = J_{4,5} = 7.4$ Hz, 4-H_2), partly superimposed by 2.32 (q, $J_{1',2'} = 7.4$ Hz, $1'\text{-H}_2$), 6.87 (t, $J_{3,4} = 7.5$ Hz, 3-H), 11.70 (br. s, CO_2H) ppm; * = assignments interchangeable.

(*E*)-2,4-Dimethylpent-2-enoic Acid (4b**):**^[33] a) Isobutyraldehyde (3.732 g, 51.75 mmol, 1.7 equiv.) was added to a solution of ethyl 2-(triphenylphosphanylidene)propionate^[34] (10.84 g, 30.00 mmol) in benzene (30 mL). After having been heated at 70°C overnight, the mixture was allowed to reach room temperature and the solvents were evaporated in vacuo. The residue was purified by flash chromatography (cyclohexane/ EtOAc , 60:1) to afford ethyl (*E*)-2,4-dimethylpent-2-enoate^[35] (4.241 g, 90%, *E*:*Z* = 96:4): ^1H NMR (300 MHz, CDCl_3): $\delta = 0.98$ [d, $J_{4\text{-Me},4} = J_{5,4} = 6.7$ Hz, 4-CH_3 , 5-H_3 ; (*Z*) isomer], 1.02 [d, $J_{4\text{-Me},4} = J_{5,4} = 6.6$ Hz, 4-CH_3 , 5-H_3 ; (*E*) isomer], 1.29 (t, $J_{\text{vic}} = 7.1$ Hz, $\text{CO}_2\text{CH}_2\text{CH}_3$), 1.83 [d, $J_{\text{allyl}} = 1.2$ Hz, 2-CH_3 , (*E*) isomer], 1.87 [d, $J_{\text{allyl}} = 1.2$ Hz, 2-CH_3 , (*Z*) isomer], 2.63 [dq, $J_{4,3} = 9.9$, $J_{4,4\text{-Me}} = J_{4,5} = 6.6$ Hz, 4-H ; (*E*) isomer], 3.20 [m, 4-H , (*Z*) isomer], 4.16 (q, $J_{\text{vic}} = 7.1$ Hz, $\text{CO}_2\text{CH}_2\text{CH}_3$), 5.68 [incompletely resolved dq, $J_{3,4} = 9.7$, $J_{\text{allyl}} = 1.3$ Hz, 3-H ; (*Z*) isomer], 6.56 [incompletely resolved dq, $J_{3,4} = 9.7$, $J_{\text{allyl}} = 1.3$ Hz, 3-H ; (*E*) isomer] ppm.

b) The title compound (**4b**; 1.153 g, 71%, *E*:*Z* = 94:6) was prepared from ethyl (*E*)-2,4-dimethylpent-2-enoate (1.976 g, 12.65 mmol, *E*:*Z* = 96:4) in a manner analogous to that specified for **4a**, part b):

3.68 (s, CO₂CH₃), 5.09 (m_c, 7-H), 5.33 (br.t, $J_{3,2}$ = 7.5 Hz, 3-H) ppm.

Methyl (Z)-4,8-Dimethylnona-3,7-dienoate [(Z)-18]:^[16] This compound (244 mg, 96%) was prepared from (Z)-17^[16] (239 mg, 1.30 mmol) in a manner analogous to that specified for (E)-18: ¹H NMR (300 MHz, CDCl₃): δ = 1.61, 1.68, and 1.74 (3 $\times$ s, 4-CH₃, 8-CH₃, 9-H₃), 2.04 (m_c, 5-H₂, 6-H₂), 3.04 (dm_c, $J_{2,3}$ = 7.2 Hz, 2-H₂), 3.67 (s, CO₂CH₃), 5.06–5.12 (m, 7-H), 5.32 (tm_c, $J_{3,2}$ = 7.5 Hz, 3-H) ppm.

Methyl Cyclopentylideneacetate [(22),^[19] 90:10 Mixture with Methyl (Cyclopent-1-enyl)acetate (24)]: Methyl (dimethoxyphosphonyl)acetate (21,^[21] 10.0 mL, 11.3 g, 61.8 mmol, 1.0 equiv.) was added to NaH (1.78 g, 74.1 mmol, 1.2 equiv.) in methanol (48 mL). After the mixture had been stirred for 40 min, cyclopentanone (5.47 mL, 5.20 g, 61.8 mmol) was added and the mixture was stirred overnight. Aq. NH₄Cl (100 mL) was added and the mixture was extracted with EtOAc (4 $\times$ 100 mL). The combined organic extracts were dried with MgSO₄ and the solvents were evaporated in vacuo. The residue was purified by vacuum distillation (140–145 °C/2.0 kPa) to afford a 90:10 mixture of 22/24 (8.06 g, 93%) as a colorless liquid: ¹H NMR (300 MHz, CDCl₃): δ = 1.66 (dddd, $J_{4',3'-H(1)}$ = $J_{4',3'-H(2)}$ = $J_{4',5'-H(1)}$ = $J_{4',5'-H(2)}$ = 6.8 Hz, 4'-H₂; 22), 1.76 (dddd, $J_{3',2'-H(1)}$ = $J_{3',2'-H(2)}$ = $J_{3',4'-H(1)}$ = $J_{3',4'-H(2)}$ = 6.8 Hz, 3'-H₂; 22), 1.85–1.95 (m, 4'-H₂; 24), 2.31–2.37 (m, 3'-H₂, 5'-H₂; 24), 2.44 (br.t, $J_{5',4'-H(1)}$ = $J_{5',4'-H(2)}$ = 7.0 Hz, 5'-H₂; 22), 2.78 (tm_c, $J_{2',3'-H(1)}$ = $J_{2',3'-H(2)}$ = 6.7 Hz, 2'-H₂; 22), 3.13 (br.s, 2-H₂; 24), 3.69 (s, CO₂CH₃; 22, 24), 5.54 (m_c, 2'-H; 24), 5.81 (m_c, 2-H; 22) ppm.

Methyl Cyclohexylideneacetate (23):^[20] The title compound (6.114 g, 98%) was prepared from cyclohexanone (4.20 mL, 3.97 g, 40.5 mmol) in a manner analogous to that specified for 22, but the purification was carried out by flash chromatography (cyclohexane/EtOAc, 8:1): ¹H NMR (500 MHz, CDCl₃): δ = 1.56–1.67 (m, 3'-H₂, 4'-H₂, 5'-H₂), 2.19 (br.t, $J_{6',5'-H(1)}$ = $J_{6',5'-H(2)}$ = 6.2 Hz, 6'-H₂)*, 2.82 (br.t, $J_{2',3'-H(1)}$ = $J_{2',3'-H(2)}$ = 5.8 Hz, 2'-H₂)*, 3.68 (s, CO₂CH₃), 5.60 (m_c, 2-H) ppm; * = assignments interchangeable.

Methyl (Cyclopent-1-enyl)acetate (24):^[19] *n*-BuLi (2.6 M in hexane, 6.47 mL, 16.8 mmol, 1.1 equiv.) was added at –78 °C to a solution of diisopropylamine (2.61 mL, 1.87 g, 18.5 mmol, 1.2 equiv.) in THF (16 mL). After the mixture had been stirred for 1 h, a solution of 22 (2.14 g, 15.3 mmol; 90:10 mixture with 24) in THF (7 mL) was added over 1 h and the mixture was stirred for 1 h. Aq. NH₄Cl (10 mL) was added and the mixture was allowed to reach room temperature. After extraction with EtOAc (4 $\times$ 40 mL), the combined organic extracts were washed with aq. NaCl (2 $\times$ 40 mL) and dried with MgSO₄. Evaporation in vacuo followed by flash chromatography (cyclohexane/EtOAc, 50:1) afforded the title compound (1.637 g, 76%): ¹H NMR (300 MHz, CDCl₃): δ = 1.90 (dddd, $J_{4',3'-H(1)}$ = $J_{4',3'-H(2)}$ = $J_{4',5'-H(1)}$ = $J_{4',5'-H(2)}$ = 7.5 Hz, 4'-H₂), 2.33 (m_c, 3'-H₂, 5'-H₂), 3.13 (br.s, 2-H₂), 3.69 (s, CO₂Me), 5.54 (m_c, 2'-H) ppm.

Methyl (Cyclohex-1-enyl)acetate (25):^[20] *n*-BuLi (2.07 M in hexane, 18.8 mL, 38.9 mmol, 1.05 equiv.) was added at –78 °C to a solution of diisopropylamine (5.50 mL, 3.94 g, 38.9 mmol, 1.05 equiv.) in THF (40 mL). After the mixture had been stirred for 1 h, a solution of 23 (5.71 g, 37.0 mmol) in THF (50 mL) was added and the mixture was stirred for a further 1 h. HOAc (6.4 mL, 6.7 g, 0.11 mol, 3.0 equiv.) was added and the mixture was stirred for 15 min. After addition of KHSO₄ (1.0 M in H₂O, 62 mL) the mixture was allowed to reach room temperature. After extraction with EtOAc (3 $\times$ 100 mL) the combined organic extracts were washed with aq. NaHCO₃ (2 $\times$ 50 mL) and aq. NaCl (50 mL) and dried with MgSO₄. Evaporation in vacuo and vacuum distillation (98–102 °C/

3.0 kPa) afforded the title compound (4.443 g, 78%): ¹H NMR (300 MHz, CDCl₃): δ = 1.53–1.68 (m, 4'-H₂, 5'-H₂), 2.00–2.05 (m, 3'-H₂, 6'-H₂), 2.95 (br.s, 2-H₂), 3.68 (s, CO₂CH₃), 5.56 (m_c, 2'-H) ppm.

Dimethyl (E)-2-(3-Methylbutylidene)succinoate [(E)-31],^[38] 81:19 Mixture with (Z)-31]: A solution of (E)-32 (500 mg, 2.06 mmol, *E:Z* = 82:18) in methanol (5 mL) was added to NaH (99 mg, 4.1 mmol, 2.0 equiv.) in methanol (5 mL). After the mixture had been stirred for 2 d at room temperature, aq. NH₄Cl (10 mL) was added. The mixture was extracted with EtOAc (5 $\times$ 20 mL) and the combined organic extracts were dried with MgSO₄. After evaporation in vacuo, the residue was purified by flash chromatography (cyclohexane/EtOAc, 20:1 $\rightarrow$ 15:1) to afford 31 (386 mg, 87%, *E:Z* = 81:19). Isomerically pure (E)-31 for the next step could be obtained by more meticulously performed flash chromatography: ¹H NMR (300 MHz, CDCl₃): δ = 1.77 (qqt, $J_{3',3'-Me}$ = $J_{3',4'}$ = $J_{3',2'}$ = 6.7 Hz, 3'-H), 2.07 (t, $J_{2',1'}$ = $J_{2',3'}$ = 7.3 Hz, 2'-H₂), 3.35 (s, 3-H₂), 3.67 (s, ⁴CO₂CH₃)*, 3.74 (s, ¹CO₂CH₃)*, 6.99 (t, $J_{1',2'}$ = 7.6 Hz, 1'-H) ppm; * = interchangeable.

Dimethyl (Z)-2-(3-Methylbutylidene)succinoate [(Z)-31],^[39] 80:20 Mixture with (E)-31]: A solution of 37 (2.00 g, 5.30 mmol) in THF (10 mL) was added at 0 °C to a suspension of NaH (127 mg, 5.29 mmol, 1.0 equiv.) in THF (10 mL). The mixture was allowed to reach room temperature and stirred for 30 min. After the mixture had been cooled to 0 °C, a solution of isovaleraldehyde (29; 456 mg, 5.30 mmol, 1.0 equiv.) in THF (10 mL) was added. The mixture was allowed to reach room temperature and stirred for 3 h. After addition of aq. NH₄Cl (55 mL), the mixture was extracted with EtOAc (4 $\times$ 50 mL) and dried with MgSO₄. Evaporation in vacuo and flash chromatography (cyclohexane/EtOAc, 25:1) afforded 31 (977 mg, 86%, *Z:E* = 80:20). Isomerically pure (Z)-31 for the next step could be obtained by more meticulously performed flash chromatography: ¹H NMR (300 MHz, CDCl₃): δ = 0.93 (d, $J_{3'-Me,3'}$ = $J_{4',3'}$ = 6.6 Hz, 3'-CH₃, 4'-H₃), 1.72 (qqt, $J_{3',3'-Me}$ = $J_{3',4'}$ = $J_{3',2'}$ = 6.7 Hz, 3'-H), 2.47 (br.t, $J_{2',1'}$ = $J_{2',3'}$ = 7.2 Hz, 2'-H₂), 3.68 and 3.73 (2 $\times$ s, 2 $\times$ CO₂CH₃), 6.08 (br.t, $J_{1',2'}$ = 7.5 Hz, 1'-H) ppm.

Diethyl (E)-2-(3-Methylbutylidene)succinoate [(E)-32],^[40] 82:18 Mixture with (Z)-32]: NaH (168 mg, 7.00 mmol, 1.0 equiv.) was added at 0 °C to a solution of diethyl phosphite (26; 967 mg, 7.00 mmol) in EtOH (35 mL). The mixture was allowed to reach room temperature and stirred for 30 min. After addition of diethyl maleate (27, 1.21 g, 7.00 mmol, 1.0 equiv.) stirring was continued for 1 h. Isovaleraldehyde (29; 603 mg, 7.00 mmol, 1.0 equiv.) was added and the mixture was stirred for 4 h. After addition of aq. NH₄Cl (25 mL), the mixture was extracted with EtOAc (4 $\times$ 30 mL). The combined organic extracts were dried with MgSO₄. After evaporation in vacuo the residue was purified by flash chromatography (cyclohexane/EtOAc, 15:1 $\rightarrow$ 10:1) to afford 32 (1.034 g, 61%, *E:Z* = 82:18): ¹H NMR (300 MHz, CDCl₃): δ = 0.93 (d, J_{vic} = 6.7 Hz, 3'-CH₃, 4'-H₃), 1.24 (t, J_{vic} = 7.2 Hz, ⁴CO₂CH₂CH₃)*, 1.28 (t, J_{vic} = 7.1 Hz, ¹CO₂CH₂CH₃)*, 1.72 [qqt, $J_{3',3'-Me}$ = $J_{3',4'}$ = $J_{3',2'}$ = 6.8 Hz, 3'-H; (Z)-32], superimposed in part by 1.78 [qqt, $J_{3',3'-Me}$ = $J_{3',4'}$ = $J_{3',2'}$ = 6.6 Hz, 3'-H; (E)-32], 2.08 [t, $J_{2',1'}$ = $J_{2',3'}$ = 7.3 Hz, 2'-H₂; (E)-32], 2.47 [t, $J_{2',1'}$ = $J_{2',3'}$ = 7.3 Hz, 2'-H₂; (Z)-32], 3.26 [br.s, 3-H₂; (Z)-32], 3.33 [br.s, 3-H₂; (E)-32], 4.15 (q, J_{vic} = 7.0 Hz, ⁴CO₂CH₂CH₃)*, 4.20 (q, J_{vic} = 7.0 Hz, ¹CO₂CH₂CH₃)*, 6.06 [t, $J_{1',2'}$ = 7.5 Hz, 1'-H; (Z)-32], 6.97 [t, $J_{1',2'}$ = 7.7 Hz, 1'-H; (E)-32] ppm; *** = interchangeable; elemental analysis calcd. (%) for C₁₃H₂₂O₄ (242.3): C 64.45, H 9.15; found: C 64.65, H 9.29.

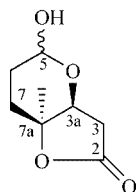
Dimethyl (E)-2-Benzylidenesuccinoate [(E)-33],^[41] 92:8 Mixture with (Z)-33]: A solution of (E)-34 (1.92 g, 7.33 mmol, *E:Z* = 92:8) in

analogous to that specified for **39a**, but twice as much of each reagent and (DHQD)₂PHAL as a ligand was used: colorless solid (m.p. 128 °C); elemental analysis calcd. (%) for C₁₁H₂₀O₅ (232.2): C 56.89, H 8.68; found: C 56.70, H 8.76. This solid was too sparingly soluble for accurate determination of $[\alpha]_D$.

Compounds 54a and 54b: These compounds were obtained as described in ref.^[8]

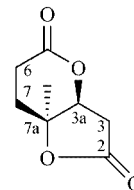
(4*S*,5*R*)-5-[(3*S*)-3,4-Dihydroxy-4-methylpentyl]-4-hydroxy-5-methyl-4,5-dihydro-3*H*-furan-2-one (55a**):** This compound (556 mg, 77%) was prepared from (*Z*)-**18** (609 mg, 3.10 mmol) in a manner analogous to that specified for **39a**, but twice as much of each reagent was used: ¹H NMR [500 MHz, D₂O, (CD₃)₂CO]: δ = 1.22 and 1.23 (2 s, 4'-CH₃, 5'-H₃), 1.43–1.51 (m, 2'-H¹)*, superimposed by 1.46 (s, 5-CH₃), 1.76–1.79 (m, 1'-H¹, 2'-H²), 1.92–1.99 (m, 1'-H²)*, 2.63 (dd, J_{gem} = 18.5 Hz, $J_{3-H(1),4}$ = 3.4 Hz, 3-H¹), 3.22 (dd, J_{gem} = 18.5 Hz, $J_{3-H(2),4}$ = 6.7 Hz, 3-H²), 3.38 (dd, $J_{3',2'-H(1)}$ = 10.6 Hz, $J_{3',2'-H(2)}$ = 1.4 Hz, 3'-H), 4.41 (dd, $J_{4,3-H(2)}$ = 6.7 Hz, $J_{4,3-H(1)}$ = 3.3 Hz, 4-H) ppm; *, ** = assignable by a C,H correlation spectrum. IR (KBr): $\tilde{\nu}$ = 3415, 2985, 2965, 2945, 2910, 2875, 2530, 2505, 2475, 1750, 1450, 1385, 1295, 1255, 1180, 1150, 1105, 1065, 1030, 1020, 945, 895, 810, 720, 630 cm⁻¹. This solid was too sparingly soluble for accurate determination of $[\alpha]_D$.

(4*R*,5*S*)-5-[(3*R*)-3,4-Dihydroxy-4-methylpentyl]-4-hydroxy-5-methyl-4,5-dihydro-3*H*-furan-2-one (55b**):** This compound (300 mg, 84%) was prepared from (*Z*)-**18** (300 mg, 1.53 mmol) in a manner analogous to that specified for **39a**, but twice as much of each reagent and (DHQD)₂PHAL as a ligand were used: colorless solid (m.p. 133 °C); elemental analysis calcd. (%) for C₁₁H₂₀O₅ (232.2): C 56.88, H 8.68; found: C 56.83, H 8.52. This solid was too sparingly soluble for accurate determination of $[\alpha]_D$.



(3a*S*,7a*S*)-5-Hydroxy-7a-methyl-3,3a,5,6,7,7a-hexahydrofuro[3,2-*b*]pyran-2-one (56a**):** NaIO₄ (370 mg, 1.73 mmol, 1.1 equiv.) was added to a solution of **53a** (365 mg, 1.57 mmol) in H₂O (18 mL) and THF (1.8 mL). After the mixture had been stirred for 2 h, aq. NH₄Cl (10 mL) was added. The mixture was extracted with CH₂Cl₂ (5 × 15 mL) and the combined organic extracts were dried with MgSO₄. Evaporation in vacuo and flash chromatography (cyclohexane/EtOAc, 1:3) afforded the title compound [174 mg, 64%; *dr* 79:21 (Dia-A:Dia-B)]; colorless solid (m.p. 132 °C). $[\alpha]_D$ = -94.5 (*c* = 1.0 in CHCl₃). ¹H NMR (500 MHz, CDCl₃): δ = 1.30 (s, 7a-CH₃; Dia-B), 1.32 (s, 7a-CH₃; Dia-A), 1.56–1.81, 1.88–1.95, 2.00–2.07, and 2.27–2.30 (4 m, 6-H₂ and 7-H₂; Dia-A and Dia-B), AB signal (δ_A = 2.43, δ_B = 2.88, J_{AB} = 17.7, B part additionally split by $J_{B,3a}$ = 4.8 Hz, 3-H₂; Dia-A), AB signal (δ_A = 2.57, δ_B = 2.88, J_{AB} = 17.6 Hz, B part additionally split by $J_{B,3a}$ = 4.5 Hz, 3-H₂; Dia-B), 3.03 (m, 5-OH; Dia-A), 3.34 (br. d, $J_{5-OH,5}$ = 7.4 Hz, 5-OH; Dia-B), 4.18 (d, $J_{3a,3-H(B)}$ = 4.5 Hz, 3a-H; Dia-B), 4.32 (d, $J_{3a,3-H(B)}$ = 4.8 Hz; Dia-A), 4.76 (m, presumably interpretable as ddd, $J_{5,6-H(1)}$ = 8.9 Hz, $J_{5,5-OH}$ = 7.2 Hz, $J_{5,6-H(2)}$ = 1.8 Hz, 5-H; Dia-B), 5.25 (q, $J_{5,5-OH}$ = $J_{5,6-H(1)}$ = $J_{5,6-H(2)}$ = 3.2 Hz, 5-H; Dia-A) ppm. IR (film): $\tilde{\nu}$ = 3425, 2990, 2970, 2965, 2950, 1745, 1725, 1445, 1430, 1385, 1340, 1320, 1275, 1195, 1120, 1090, 1045, 1000, 955, 940, 825, 790, 595 cm⁻¹; elemental analysis calcd. (%) for C₈H₁₂O₄ (172.1): C 55.81, H 7.03; found: C 55.70, H 6.92.

(3a*R*,7a*R*)-5-Hydroxy-7a-methyl-3,3a,5,6,7,7a-hexahydrofuro[3,2-*b*]pyran-2-one (56b**):** This compound (120 mg, 72%; *dr* 79:21) was prepared from **53b** (224 mg, 0.964 mmol) in a manner analogous to that specified for **56a**: $[\alpha]_D$ = +90.6 (*c* = 1.1 in CHCl₃).



(3a*S*,7a*S*)-7a-Methyl-3a,6,7,7a-tetrahydrofuro[3,2-*b*]pyran-2,5-(3*H*)-dione (57a**):** Pyridinium chlorochromate (571 mg, 2.65 mmol, 2.0 equiv.) was added to a solution of **56a** (228 mg, 1.32 mmol) in CH₂Cl₂ (10 mL). After stirring overnight, the mixture was filtered through Celite, evaporated in vacuo, and purified by flash chromatography (cyclohexane/EtOAc, 1:2) to afford the title compound (129 mg, 57%); colorless solid (m.p. 129 °C). $[\alpha]_D$ = -19.2 (*c* = 1.1 in CHCl₃). ¹H NMR (500 MHz, CDCl₃): δ = 1.51 (s, 7a-CH₃), AB signal (δ_A = 2.17, δ_B = 2.30, J_{AB} = 14.5 Hz, A part additionally split by $J_{A,6-H(B)}$ = 9.8 Hz, $J_{A,6-H(A)}$ = 4.8 Hz, B part additionally split by $J_{B,6-H(A)}$ = 7.3, $J_{B,6-H(B)}$ = 5.0 Hz, 7-H₂), AB signal (δ_A = 2.49, δ_B = 2.65, J_{AB} = 17.2, A part additionally split by $J_{A,7-H(B)}$ = 7.3 Hz, $J_{A,7-H(A)}$ = 4.8 Hz, B part additionally split by $J_{B,7-H(A)}$ = 9.8 Hz, $J_{B,7-H(B)}$ = 4.9 Hz, 6-H₂), AB signal (δ_A = 2.82, δ_B = 3.09, J_{AB} = 18.9 Hz, B part additionally split by $J_{B,3a}$ = 6.4 Hz, 3-H₂), 4.79 (dd, $J_{3a,3-H(B)}$ = 6.5 Hz, $J_{3a,3-H(A)}$ = 0.6 Hz, 3a-H) ppm. IR (film): $\tilde{\nu}$ = 2980, 2945, 2885, 1780, 1760, 1750, 1455, 1435, 1410, 1385, 1315, 1285, 1240, 1225, 1185, 1155, 1100, 1050, 985, 950, 880, 805, 695, 625, 565, 535 cm⁻¹; t_R (3a*S*,7a*S*) = 15.19 min, t_R (3a*R*,7a*R*) = 14.19 min (150 °C, 100 kPa); 83% *ee*; elemental analysis calcd. (%) for C₈H₁₀O₄ (170.2): C 56.47, H 5.92; found: C 56.53, H 5.79.

(3a*R*,7a*R*)-7a-Methyl-3a,6,7,7a-tetrahydrofuro[3,2-*b*]pyran-2,5-(3*H*)-dione (57b**):** This compound (63 mg, 63%) was prepared from **56b** (102 mg, 0.592 mmol) in a manner analogous to that specified for **57a**: $[\alpha]_D$ = +20.1 (*c* = 0.7 in CHCl₃); t_R (3a*R*,7a*R*) = 13.66 min, t_R (3a*S*,7a*S*) = 14.76 min (150 °C, 100 kPa); 82% *ee*.

(4*S*,5*R*)-4-Hydroxy-5-methyl-5-(3-oxopropionyl)-4,5-dihydro-3*H*-furan-2-one (58a**):** H₂O (0.3 mL) was added to a suspension of **55a** (72 mg, 0.31 mmol), EtOAc (6 mL), and NaIO₄ (73 mg, 0.34 mmol, 1.1 equiv.). After 30 min, EtOAc (20 mL) was added. The mixture was dried with MgSO₄, evaporated in vacuo, and purified by flash chromatography (EtOAc) to afford the title compound (50 mg, 94%); $[\alpha]_D$ = +8.0 (*c* = 1.0 in CHCl₃).

(4*R*,5*S*)-4-Hydroxy-5-methyl-5-(3-oxopropionyl)-4,5-dihydro-3*H*-furan-2-one (58b**):** This compound (197 mg, 91%) was prepared from **55b** (292 mg, 1.26 mmol) in a manner analogous to that specified for **58a**: $[\alpha]_D$ = -8.8 (*c* = 1.0 in CHCl₃). ¹H NMR (500 MHz, CDCl₃): δ = 1.38 (s, 5-CH₃), AB signal (δ_A = 1.93, δ_B = 1.98, J_{AB} = 14.7 Hz, A part additionally split by $J_{A,2'-H(B)}$ = 8.4 Hz, $J_{A,2'-H(A)}$ = 6.4 Hz, B part additionally split by $J_{B,2'-H(A)}$ = 8.3 Hz, $J_{B,2'-H(B)}$ = 6.4 Hz, 1'-H₂), 2.29 (br. s, 4-OH), AB signal (δ_A = 2.58, δ_B = 2.93, J_{AB} = 18.0 Hz, A part additionally split by $J_{A,4}$ = 4.8 Hz, B part additionally split by $J_{B,4}$ = 6.9 Hz, 3-H₂), AB signal (δ_A = 2.66, δ_B = 2.70, J_{AB} = 18.7 Hz, A part additionally split by $J_{A,1'-H(B)}$ = 8.3 Hz, $J_{A,1'-H(A)}$ = 6.5 Hz, $J_{A,3'}$ = 0.9 Hz, B part additionally split by $J_{B,1'-H(A)}$ = 8.3 Hz, $J_{B,1'-H(B)}$ = 6.4 Hz, $J_{B,3'}$ = 0.8 Hz, 2'-H₂), 4.25 (br. dd, $J_{4,3-H(B)}$ = 6.8 Hz, $J_{4,3-H(A)}$ = 4.8 Hz, 4-H), 9.82 (m, 3'-H) ppm. IR (film): $\tilde{\nu}$ = 3430, 2985, 2940, 2845, 2735, 1770, 1725, 1440, 1415, 1385, 1300, 1260, 1195, 1170, 1110, 1095, 1075,

1045, 945, 800 cm^{-1} ; elemental analysis calcd. (%) for $\text{C}_8\text{H}_{12}\text{O}_4$ (172.1): C 55.81, H 7.02; found: C 55.69, H 7.04.

(4*S*,5*R*)-5-But-3-enyl-4-hydroxy-5-methyl-4,5-dihydro-3*H*-furan-2-one (59a): *n*-BuLi (2.3 M hexane, 0.28 mL, 0.64 mmol, 1.4 equiv.) was added at -5°C to a suspension of triphenylmethylphosphonium bromide (266 mg, 0.744 mmol, 1.6 equiv.) in THF (2 mL). The mixture was allowed to reach room temperature. After stirring for 1 h, the mixture was cooled to -5°C and a solution of **58a** (80 mg, 0.47 mmol) in THF (2 mL) was added. The mixture was allowed to reach room temperature and stirred for 1.5 h. Aq. NH_4Cl (10 mL) was added and the mixture was extracted with EtOAc (4×20 mL) and dried with MgSO_4 . Evaporation in vacuo and purification by flash chromatography (cyclohexane/EtOAc, 2:1) afforded the title compound (25 mg, 31%): $[\alpha]_{\text{D}}^{25} = +1.1$ ($c = 0.6$ in CHCl_3). ^1H NMR (500 MHz, CDCl_3): $\delta = 1.40$ (s, 5- CH_3), 1.65–1.77 (m, 1'- H_2)*, 2.11–2.24 (m, 2'- H_2)*, AB signal ($\delta_{\text{A}} = 2.56$, $\delta_{\text{B}} = 2.91$, $J_{\text{AB}} = 18.0$, A part additionally split by $J_{\text{A},4} = 4.3$, B part additionally split by $J_{\text{B},4} = 7.0$ Hz, 3- H_2), A part superimposed 2.56 (br. s, 4-OH), 4.27 (dd, $J_{4,3-\text{H(B)}} = 6.9$, $J_{4,3-\text{H(A)}} = 4.3$ Hz, 4-H), 4.99 (dm, 4'- H^1), 5.05 (dm, 4'- H^2), 5.79 (dddd, $J_{\text{trans}} = 17.0$, $J_{\text{cis}} = 10.4$, $J_{3',2'-\text{H(1)}} = J_{3',2'-\text{H(2)}} = 6.6$ Hz, 3'-H) ppm; * = assignable by a C,H correlation spectrum. IR (film): $\tilde{\nu} = 3440$, 3080, 2980, 2940, 2860, 1755, 1640, 1450, 1415, 1385, 1300, 1260, 1200, 1175, 1065, 1000, 950, 920, 795 cm^{-1} ; $t_{\text{r}}(4\text{S},5\text{R}) = 13.25$ min, $t_{\text{r}}(4\text{R},5\text{S}) = 14.32$ min (135 $^\circ\text{C}$, 100 kPa); 90% ee; elemental analysis calcd. (%) for $\text{C}_9\text{H}_{14}\text{O}_3$ (232.2): C 63.51, H 8.29; found: C 63.23, H 8.42.

(4*R*,5*S*)-5-(But-3-enyl)-4-hydroxy-5-methyl-4,5-dihydro-3*H*-furan-2-one (59b): This compound (49 mg, 36%) was prepared from **58b** (139 mg, 0.807 mmol) in a manner analogous to that specified for **59a**: $[\alpha]_{\text{D}}^{25} = -1.2$ ($c = 1.1$ in CHCl_3); $t_{\text{r}}(4\text{R},5\text{S}) = 14.16$ min, $t_{\text{r}}(4\text{S},5\text{R}) = 13.28$ min (150 $^\circ\text{C}$, 100 kPa); 93% ee.

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